

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Joel Palefsky *et al.*
Serial No.: 10/551,704
Filed: 09/30/2005
For: Immunomodulatory Agents For Treatment Of Inflammatory Diseases

Group No.:
Examiner:

TRANSMITTAL

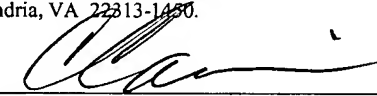
MS PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATE OF TRANSMISSION UNDER 37 C.F.R. § 1.8(a)(1)(i)(A)

I hereby certify that this correspondence (along with any referred to as being attached or enclosed) is, on the date shown below, being deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: January 18, 2007

By:


Cliff Cannon-Cin

Dear Sir or Madam:

In response to the Notification of Missing Requirements under 35 U.S.C. 371 mailed
December 8, 2006 (a copy of which is enclosed), Applicants submit the following documents:

- ▶ a Declaration;
- ▶ a Power of Attorney by Assignee (an Assignment to The Regents of the University of California has already been submitted for recordation by facsimile);
- ▶ a Sequence Listing in paper copy and on a computer-readable diskette;
- ▶ a Certificate re: Sequence Listing; and
- ▶ a Preliminary Amendment.

A check in the amount of **\$65** is enclosed herewith to cover the surcharge for filing missing parts of an application.

In addition, the Commissioner is hereby authorized to charge payment of any additional fees associated with this communication or credit any overpayment to Deposit Account No. **08-1290**. **An originally executed duplicate of this transmittal is enclosed for this purpose.**

Dated: January 18, 2007

By:



Christine A. Lekutis
Registration No. 51,934

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UNITED STATES PATENT AND TRADEMARK OFFICE

JP

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
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U.S. APPLICATION NUMBER NO.

10/551,704

FIRST NAMED APPLICANT

Joel Palefsky

ATTY. DOCKET NO.

UCSF-10073

INTERNATIONAL APPLICATION NO.

PCT/US04/10244

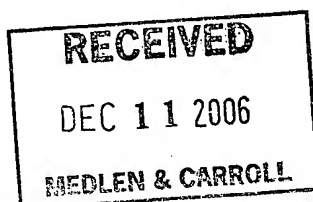
I.A. FILING DATE

04/02/2004

PRIORITY DATE

04/04/2003

Medlen & Carroll
 101 Howard Street, Suite 350
 San Francisco, CA 94105



CONFIRMATION NO. 5978

371 FORMALITIES LETTER



OC000000021524034

Date Mailed: 12/08/2006

Resp. 2/8/07 ed

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 09/30/2005
- Copy of the International Search Report filed on 09/30/2005
- U.S. Basic National Fees filed on 09/30/2005
- Priority Documents filed on 09/30/2005
- Specification filed on 09/30/2005
- Claims filed on 09/30/2005
- Abstracts filed on 09/30/2005
- Drawings filed on 09/30/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$65 for a Small Entity:

COPY

- \$65 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web.
<https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html>

For more information about EFS-Web please call the USPTO Electronic Business Center at 1-866-217-9197 or visit our website at <http://www.uspto.gov/ebc>.

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

TAMALA D HOLLAND

Telephone: (703) 308-9140 EXT 209

PART 1 - ATTORNEY/APPLICANT COPY

| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY. DOCKET NO. |
|-----------------------------|-------------------------------|------------------|
| 10/551,704 | PCT/US04/10244 | UCSF-10073 |